PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021

# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER STT-B-PCT-US

INTERNATIONAL APPLICATION NO. PCT/EP2005/051923 PCT/EP2005/05192 PCT

J.S. APPLICATION NO. (JE KNOWN, SEE 37 CFR 1.5)

30 April 2004

TITLE OF INVENTION:

LENS MACHINING MACHINE

APPLICANT(S) FOR DO/EO/US: Gunter Schneider, Helwig Buchenauer, Klaus Krämer									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.									
2.   This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.									
This express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (									
(9) and (21) indicated below.									
4. 図 The US has been elected (Article 31).									
A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
a.   is attached hereto (required only if not communicated by the International Bureau).									
b. 🗵 has been communicated by the International Bureau.									
c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).									
6.   An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))									
a. 🗵 is attached hereto.									
b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).									
7. D Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
a. □ are attached hereto (required only if not communicated by the International Bureau).									
b. ☐ has been communicated by the International Bureau.									
c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.									
d									
8.   An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. 🗵 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT									
Article 36 (35 U.S.C. 371(c)(5)).									
Items 11. to 20. below concern document(s) or information included:									
11.   An Information Disclosure Statement under 37 CFR 1.97 and 1.98.									
12.   An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13.   A preliminary amendment.									
14. ☐ An application Data Sheet under 37 CFR 1.76.									
15. 🗵 A substitute specification.									
16. ☐ A power of attorney and/or change of address letter.									
17. ☐ A computer-readable form of the sequence listing in accordance with pct rule 13 <i>ter</i> .2 and 37 CFR 1.821-1.825.									
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).									
19. ☐ A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).									
20.   Other items or information: Marked-Up Version of Substitute Specification									

SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
Page 1 of 2

MPARCELLE CE APR 2006

PTO-1390 (Rev. 12-2004) Approved for use through 3/31/2007. OMB 0651-0021

U.S. APPLICATION NO. (IF KNOWN, SEE 37.CFR 1.5				37.CFR 1.5)	INTERNATIONAL APPLICATION NO. PCT/EP2005/051923		ATTORNEY'S DOCKET NUMBER STT-B-PCT-US			
The following fees are submitted.						CALCULATIONS	PTO use only			
21. ⊠ Basic national fee\$300.00							\$300.00			
22.   Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100.00  All other situations \$200.00							\$200.00			
23: 🗵 Search fee  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority							\$500.00			
	TOTAL OF 21, 22 and 23 =									
☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							\$			
Total She	eets	Ex	tra sheets		ach additional 50 or fraction und <b>up</b> to a whole number)	RATE				
43 -1	100 =		0/50 =		and up to a timele manipory	× \$250.00	\$0.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							\$			
	LAIMS		NUMBER FILED		NUMBER EXTRA	RATE	\$			
Total Cl	Total Claims 20		20-20=		0	× \$ 50.00	\$ 0.00			
Indeper	Independent Claims				0	× \$200.00	\$0.00			
1         1-3=         0         X         \$200.00           MULTIPLE DEPENDENT CLAIM(S) (if applicable)         +         \$360.00							\$			
• •				-		E CALCULATION =	\$1,000.00			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.							\$500.00			
SUBTOTAL =						\$500.00				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$			
TOTAL NATIONAL FEE =							\$500.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$	:			
TOTAL FEES ENCLOSED =						\$500.00				
Amount to be refunded:							\$'			
					A	mount to be charged:		\$		
a. ⊠	A check	in th	e amount of <u>s</u>	\$500.00 to cov	ver the above fees is end	losed.				
b., □	<del></del>									
c. 🗵										
d. □	·									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORRESPONDENCE TO:										
Daniel J. Hudak, Jr.										
Hudak Shunk & Farine Co. LPA Daniel J. H							udak, Jr.			
ı	ont Street, : a Falls, Ol									
Tel: (330) 535-2220 —47,669 ———————————————————————————————————										
Fax: (330	Fax: (330) 535-1435 REGISTRATION NUMBER									

## IAP20 Rec'd PCTIPTO US APR 2006

### CERTIFICATE UNDER 37 C.F.R. § 1.10 OF MAILING BY "EXPRESS MAIL"

Applicant:

Gunter Schneider, et al.

International Application No.:

PCT/EP2005/051923

International Filing Date:

28 April 2005

Title:

LENS MACHINING MACHINE

Agent's Reference No.:

STT-B-PCT-US

Date:

April 3, 2006

**EXPRESS MAIL RECEIPT NO.: EV 729416220 US** 

DATE OF DEPOSIT: April 3, 2006

The undersigned hereby certifies that the attached papers were deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

HUDAK, SHUNK & FARINE CO. LPA

Đaniel J. Hudak,

Agent for Applicant

DJHjr/lb

**Enclosures: Express Mail Certification** 

Return postcard

Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US)

Concerning a filing under 35 USC §371 (in duplicate)

Filing Fee by check of \$500.00

Executed Declaration for Utility or Design Patent Application Copy of International Application Published under the PCT

WO 2005/105372 A1 (31 pages including 3 pages of drawings)

and copy of International Search Report - 3 pages Translation of International Application - 24 pages Submission of Substitute Specification - 24 pages

Marked-Up Version of Substitute Specification -25 pages

Copy of Written Opinion - 4 pages

Information Disclosure Statement, Form PTO-1449 and 3 refs.

### IAP20 RESUPERIOR 03 APR 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Gunter Schneider, et al.

International Application No.:

PCT/EP2005/051923

International Filing Date:

28 April 2005

For:

LENS MACHINING MACHINE

Date:

April 3, 2006

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### SUBMISSION OF SUBSTITUTE SPECIFICATION

Sir:

Please replace the specification as filed with the attached Substitute Specification in accordance with 37 CFR §1.52, §1.121(b)(3), and §1.125. The Substitute Specification includes no new matter. A Marked-Up Copy of the Substitute Specification is also attached as required showing all changes.

Respectfully submitted,

HUDAK, SHUNK & FARINE CO. LPA

By: Daniel الر Hudak, Jr. إ

Registration No. 47,669

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Attorney Docket No.: STT-B-PCT-US